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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/734,628	03/05/2001	Arul M. Chinnaiyan	11203-005001/ UM 1850	4749

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EXAMINER

HADDAD, MAHER M

ART UNIT

PAPER NUMBER

1644

DATE MAILED: 01/11/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/734,628

Applicant(s)

CHINNAIYAN ET AL.

Examiner

Maher M. Haddad

Art Unit

1644

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-60 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-60 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). ____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____ 6) ☐ Other: See Continuation Sheet.

Continuation of Attachment(s) 6). Other: Fax Transmission-Restriction Election.

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DETAILED ACTION

1. The Art Unit location and the examiner of your application in the PTO has changed. To aid in correlating any papers for this application, all further correspondence regarding this application should be directed to Maher Haddad, Art Unit 1644, Technology Center 1600.

Sequence Compliance

2. The instant application appears to be in sequence compliance for patent applications containing nucleotide sequence and/or amino acid sequence disclosures.

Restriction Requirement

3. Please Note: In an effort to enhance communication with our customers and reduce processing time, Group 1640 is running a Fax Response Pilot for Written Restriction Requirements. A dedicated Fax machine is in place to receive your responses. The Fax number is 703-308-4315. A Fax cover sheet is attached to this Office Action for your convenience. We encourage your participation in this Pilot program. If you have any questions or suggestions please contact Paula Hutzell, Ph.D., Supervisory Patent Examiner at Paula.Hutzell@uspto.gov or 703-308-4310. Thank you in advance for allowing us to enhance our customer service. Please limit the use of this dedicated Fax number to responses to Written Restrictions.

4. The following is noted in claims 1 and 18:

RGD motif, selectin-binding polypeptide, matrix metalloproteinase-binding polypeptide, and chondroitin sulfate proteoglycan-binding polypeptide are structurally distinct, having different physiochemical properties and different modes of action. RGD motif-expressing peptide SEQ ID NO: 1, selectin binding ligand SEQ ID NO: 2, MMP-binding polypeptide SEQ ID NO: 3 and the chondroitin sulfate proteoglycan-binding peptide SEQ ID NO: 4 and 5 have different binding polypeptides and widely vary in their size and composition is structurally distinct. *Therefore, the restriction has been set forth for each product as separate groups, irrespective of the format of the claims.*

5. Restriction to one of the following inventions is required under 35 U.S.C. § 121:

- I. Claims 1-9, and 16-44, drawn to a chimeric polypeptide molecule comprising RGD motif polypeptide comprises SEQ ID NO: 1 and pharmaceutical formulation thereof, classified in Class 530, subclass 350; class 514, subclass 2.
- II. Claims 1-4, 10, 11, and 16-44, drawn to a chimeric polypeptide molecule comprising E-selectin binding polypeptide comprises SEQ ID NO: 2 and pharmaceutical formulation thereof, classified in Class 530, subclass 350; class 514, subclass 2.

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- III. Claims 1-4, 12, 13, and 16-44, drawn to a chimeric polypeptide molecule comprising MMP comprises SEQ ID NO:3 polypeptide and pharmaceutical formulation thereof, classified in Class 530, subclass 350; class 514, subclass 2.
- IV. Claims 1-4, and 14-44, drawn to a chimeric polypeptide molecule comprising proteoglycan binding polypeptide comprises SEQ ID NO: 4 and pharmaceutical formulation thereof, classified in Class 530, subclass 350; class 514, subclass 2.
- V. Claims 1-4, and 15-44, drawn to a chimeric polypeptide molecule comprising proteoglycan binding polypeptide comprises SEQ ID NO: 5 and pharmaceutical formulation thereof, classified in Class 530, subclass 350; class 514, subclass 2.
- VI. Claims 45-50, drawn to a nucleic acid encoding chimeric RGD polypeptide comprises SEQ ID NO: 1, vector and host cells and pharmaceutical formulation thereof, classified in Class 536, subclass 23.4; Class 435, subclasses 69.1, 252.3, and 320.1.
- VII. Claims 45-50, drawn to a nucleic acid encoding chimeric E-selectin polypeptide comprises SEQ ID NO: 2, vector and host cells, classified in Class 536, subclass 23.4; Class 435, subclasses 69.1, 252.3, and 320.1.
- VIII. Claims 45-50, drawn to a nucleic acid encoding chimeric MMP polypeptide comprises SEQ ID NO: 3, vector and host cells, classified in Class 536, subclass 23.4; Class 435, subclasses 69.1, 252.3, and 320.1.
- IX. Claims 45-50, drawn to a nucleic acid encoding chimeric chondroitin sulfate proteoglycan polypeptide comprises SEQ ID NO: 4, vector and host cells, classified in Class 536, subclass 23.4; Class 435, subclasses 69.1, 252.3, and 320.1.
- X. Claims 45-50, drawn to a nucleic acid encoding chimeric chondroitin sulfate proteoglycan polypeptide comprises SEQ ID NO: 5, vector and host cells, classified in Class 536, subclass 23.4; Class 435, subclasses 69.1, 252.3, and 320.1.
- XI. Claims 51-59, drawn to methods of *in situ* and *in vivo* imaging comprising RGD polypeptide comprises SEQ ID NO: 1 chimeric molecule, class 424, subclass 138.1 and 146.1.
- XII. Claims 51-59, drawn to methods of *in situ* and *in vivo* imaging comprising E-selectin binding polypeptide comprises SEQ ID NO: 2 chimeric molecule, class 424, subclass 138.1 and 146.1.
- XIII. Claims 51-59, drawn to methods of *in situ* and *in vivo* imaging comprising MMP comprises SEQ ID NO: 3 chimeric molecule, class 424, subclass 138.1 and 146.1.

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- XIV. Claims 51-59, drawn to methods of *in situ* and *in vivo* imaging comprising chondroitin sulfate proteoglycan binding polypeptide comprises SEQ ID NO: 4 chimeric molecule, class 424, subclass 138.1 and 146.1.
- XV. Claims 51-59, drawn to methods of *in situ* and *in vivo* imaging comprising chondroitin sulfate proteoglycan binding polypeptide comprises SEQ ID NO: 5 chimeric molecule, class 424, subclass 138.1 and 146.1.
- XVI. Claim 60, drawn to a method of screening comprising RGD binding polypeptide comprises SEQ ID NO: 1 chimeric molecule; classified in Class 435, subclass 7.1.
- XVII. Claim 60, drawn to a method of screening comprising E-selectin binding polypeptide comprises SEQ ID NO: 2 chimeric molecule; classified in Class 435, subclass 7.1.
- XVIII. Claim 60, drawn to a method of screening comprising MMP binding polypeptide comprises SEQ ID NO: 3 chimeric molecule; classified in Class 435, subclass 7.1.
- XIX. Claim 60, drawn to a method of screening comprising chondroitin sulfate proteoglycan binding polypeptide comprises SEQ ID NO: 4 chimeric molecule; classified in Class 435, subclass 7.1.
- XX. Claim 60, drawn to a method of screening comprising chondroitin sulfate proteoglycan binding polypeptide comprises SEQ ID NO: 5 chimeric molecule; classified in Class 435, subclass 7.1.

6. Groups I-X are different products. Nucleic acids and polypeptides differ with respect to their structures and physicochemical properties. In addition, RGD motif, selectin-binding polypeptide, matrix metalloproteinase-binding polypeptide, and chondroitin sulfate proteoglycan-binding polypeptide are structurally distinct, having different physiochemical properties and different modes of action. RGD motif-expressing peptide SEQ ID NO: 1, selectin binding ligand SEQ ID NO: 2, MMP-binding polypeptide SEQ ID NO: 3 and the chondroitin sulfate proteoglycan-binding peptide SEQ ID NO: 4 and 5 have different binding polypeptides and widely vary in their size and composition is structurally distinct; therefore each product is patentably distinct.

7. Groups XI-XX are different methods. A method of *in situ* and *in vivo* imaging and a method of screening differ with respect to ingredients, method steps, and endpoints; therefore, each method is patentably distinct.

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8. These inventions are distinct for the reasons given above. In addition, they have acquired a separate status in the art as shown by different classification and/or recognized divergent subject matter. Further, even though in some cases the classification is shared, a different field of search would be required based upon the structurally distinct products recited and the various methods of use comprising distinct method steps. Therefore restriction for examination purposes as indicated is proper.

Species Election

9. This application contains claims directed to the following patentably distinct species of the claimed Inventions I-V: wherein the imaging-enhancing agent is:

- A) fluorescent,
- B) bioluminescent,
- C) radioactive isotope,
- D) paramagnetic,
- E) chemiluminescent, or
- F) heterologous kinase.

These species are distinct because their structure and modes of action are different.

Applicant is required under 35 U.S.C. § 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

10. Applicant is advised that a response to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 C.F.R. § 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. M.P.E.P. § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. § 103 of the other invention.

11. Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed.

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12. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maher Haddad whose telephone number is (703) 306-3472. The examiner can normally be reached Monday through Friday from 8:00 AM to 4:30 PM. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (703) 308-3973. Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center 1600 receptionist whose telephone number is (703) 308-0196.

Papers related to this application may be submitted to Technology Center 1600 by facsimile transmission. Papers should be faxed to Technology Center 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Fax Center telephone number is (703) 305-3014.

Maher Haddad, Ph.D.
Patent Examiner
Technology Center 1600
January 10, 2002

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PRIMARY EXAMINER
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1/10/02